



# Series 65 Practice Exams and Study Guide

*Philip Martin McCaulay*

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## **Series 65 Practice Exams and Study Guide** Philip Martin McCaulay

This book will help investment professionals pass the Uniform Investment Adviser Law Examination. It contains twelve practice exams and a study guide. The sample questions are the type that are most likely to appear on the Series 65 test and are in the same format as those on the exam. The questions are straightforward multiple choice questions with four choices and one best answer.

Part I of this book contains the sample exams with a total of 1,560 questions, the equivalent of twelve complete 130-question Series 65 tests. Each 130-question practice test is followed by the answer key. After the answer key, the practice exam is displayed with the answers shown. The questions by topic are in the same proportion as the topic weightings on the Series 65 test

Part II of this book has a study guide in outline form. There is a section for each topic covered on the Series 65 test, including Economic Factors and Business Information; Investment Vehicle Characteristics; Client Investment Recommendations and Strategies; and Laws, Regulations, and Guidelines, including Prohibition on Unethical Business Practices. The study guide includes details on the math formulas needed for the test.

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